

Monday, January 16, 2006 4:14 PM

Samuel A. Kassatly 408-521-0111

p.01

SAMUEL A. KASSATLY
Attorney-at-Law
Technology and Intellectual Property Matters

20690 View Oaks Way
San Jose, CA 95120
Telephone: (408) 323-5111
Facsimile: (408) 521-0111

RECEIVED
CENTRAL FAX CENTER
JAN 16 2006

orbsak@pacbell.net

FACSIMILE TRANSMITTAL

| | | |
|------------------------------------|------------------|--------------------|
| DATE: 01/16/2006 | FROM: | Samuel A. Kassatly |
| TO: Examiner Nathan Hillary | TELEPHONE NO.: | (408) 323-5111 |
| U.S. Patent and Trademark Office | FACSIMILE NO.: | (408) 521-0111 |
| Group Art Unit: 2176 | ATTY DOCKET NO.: | ARC920010034US1 |
| FACSIMILE NO.: 571-273-8300 | SUBJECT: | Amendment |

Title: "System and Method for Associating a Destination Document to a Source Document During a Save Process"

Applicant(s): Reiner Kraft

Attorney Docket No.: ARC920010034US1

Serial No.: 09/825,210

Filing Date: 05/02/2001

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE 19

THANK YOU FOR FORWARDING THIS DOCUMENT TO EXAMINER Nathan Hillary
AS SOON AS POSSIBLE.

Respectfully submitted,



Samuel A. Kassatly
Reg. No. 32,247
Date: 01/16/2006

Enclosure: Amendment

CERTIFICATE OF FAXING

I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office, to fax No. 571-273-8300, on 01/16/2006



Samuel A. Kassatly

JAN 16 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

| | | |
|--|----------------------|------------------------|
| | Application Number | 09/825,210 |
| | Filing Date | 05/02/2001 |
| | First Named Inventor | Reiner Kraft |
| | Art Unit | 2176 |
| | Examiner Name | Nathan Hillary |
| Total Number of Pages in This Submission | 19 | Attorney Docket Number |
| | | ARC920010034US1 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Change of Correspondence Address |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1) Facsimile cover page |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 2) Certificate of Transmission |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|--|----------|-------|
| Firm Name | Samuel A. Kassatly, Law Office | | |
| Signature |  | | |
| Printed name | Samuel A. Kassatly | | |
| Date | 01/16/2006 | Reg. No. | 32247 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|--|------|------------|
| Signature |  | | |
| Typed or printed name | Samuel A. Kassatly | Date | 01/16/2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER

Monday, January 16, 2006 4:14 PM

Samuel A. Kassatly 408-521-0111

p.03

JAN 16 2006

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | | | |
|---|--|--------------------------|-----------------|
| Effective on 12/08/2004. <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i> | | Complete if Known | |
| FEE TRANSMITTAL For FY 2005 | | Application Number | 09/825,210 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Filing Date | 05/02/2001 |
| TOTAL AMOUNT OF PAYMENT (\$) | | First Named Inventor | Reiner Kraft |
| 0 | | Examiner Name | Nathan Hillery |
| | | Art Unit | 2176 |
| | | Attorney Docket No. | ARC920010034US1 |

METHOD OF PAYMENT (check all that apply)

| | | | | |
|--|---|---|-------------------------------|---|
| <input type="checkbox"/> Check | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> None | <input type="checkbox"/> Other (please identify): _____ |
| <input checked="" type="checkbox"/> Deposit Account | | Deposit Account Number: 09-0441 Deposit Account Name: International Business Machines | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | |
| <input type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee | | | |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 | | | | |
| <input checked="" type="checkbox"/> Credit any overpayments | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| <u>Application Type</u> | <u>FILING FEES</u> | | <u>SEARCH FEES</u> | | <u>EXAMINATION FEES</u> | | <u>Fees Paid (\$)</u> |
|-------------------------|--------------------|---------------------|--------------------|---------------------|-------------------------|---------------------|-----------------------|
| | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | 0 |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | 0 |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | 0 |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | 0 |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | 0 |

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

| <u>Small Entity</u> | <u>Fee (\$)</u> |
|---------------------|-----------------|
| 50 | 25 |
| 200 | 100 |
| 360 | 180 |

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|-----------------|----------------------|---|-----------------|----------------------|
| | 16 | - 20 or HP = 0 | x 50 | | | |
| | | | | HP = highest number of total claims paid for, if greater than 20. | 360 | 0 |

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

3 - 3 or HP = 0 x 200 = 0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x = 0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Fees Paid (\$)

0

0

SUBMITTED BY

| | | | |
|-------------------|---|--|------------------------|
| Signature |  | Registration No. 32247 (Attorney/Agent) | Telephone 408-323-5111 |
| Name (Print/Type) | Samuel A. Kassatly | | Date 01/16/2006 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER

JAN 16 2006

PATENTIN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Title: "System and Method for Associating a Destination Document to a Source Document During a Save Process"**Applicant:** Reiner Kraft**Attorney Docket No.:** ARC920010034US1

Serial No.: 09/825,210**Examiner:** Nathan Hillary**Filing Date:** April 2, 2001**Art Unit:** 2176

Mail Stop: Amendment
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

Applicant submits this Amendment in response to the Office Action of October 18, 2005, and respectfully request that it be entered.

The amendments to the Claims are reflected in the listing of the claims that begins on page 2 of this paper.

The Remarks section begins on page 7 of this paper.